SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB APPROVAL

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Instruction 1(b	n).		Filed pursuant to Section 16(a) of the Securities Exchange Act of 193					
	,		or Section 30(h) of the Investment Company Act of 1940			-		
1. Name and Add		ng Person [*]	2. Issuer Name and Ticker or Trading Symbol Apellis Pharmaceuticals, Inc. [APLS]		ationship of I all applicat	f Reporting Person(s) to Issuer able)		
Dunlop A. Sinclair			<u>ripenis rhamaceateais, mei</u> [miss]	X	Director		10% Owner	
		(Middle) EUTICALS, INC.	3. Date of Earliest Transaction (Month/Day/Year) 08/11/2022		Officer (give title below)		Other (specify below)	
100 FIFTH AVENUE, 3RD FLOOR			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	idual or Joi	pint/Group Filing (Check Applicable		
(Street)				X	Form filed	by One Re	porting Person	
WALTHAM	MA	02451			Form filed Person	by More th	an One Reporting	
(City)	(State)	(Zip)						
		Table I - Non-D	erivative Securities Acquired, Disposed of, or Ben	eficially	Owned			

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	08/11/2022		s		30,000	D	\$65.63	255,679	Ι	Indirect Owner (Epidarex) ⁽¹⁾
Common Stock								100,730	D	
Common Stock								31,855	Ι	Indirect Owner (Masa) ⁽²⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)				6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The securities are held by Epidarex and the reporting person, a general partner of Epidarex, may be deemed to have voting and dispositive power over the shares held by Epidarex. The reporting person disclaims beneficial ownership over the shares held by Epidarex except to the extent of his pecuniary interest therein.

2. The securities are held by MASA and the reporting person, a managing partner of MASA, may be deemed to have voting and dispositive power over the shares held by MASA. The reporting person disclaims beneficial ownership over the shares held by MASA except to the extent of his pecuniary interest therein.

/s/ David Watson, attorney-in-08/12/2022

fact for Sinclair Dunlop

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.