FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

wasnington, D.	.C. 20040	

OMB APPROVAL 3235-0287 OMB Number: STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Estimated average burden

0.5

hours per response:

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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	nd Address of els Alec	f Reporting Person*							cker or Tr Ceutica		Symbol Inc. [AP	LS]		Relationship Check all appli X Direct	cable)	ting Per	son(s) to Is	
	ELLIS PHA	irst) LRMACEUTICA JE, 3RD FLOOR		C.		Date of Earliest Transaction (Month/Day/Year) 0/07/2020								Officer (give title below)			Other (below)	(specify
(Street) WALTH	AM M	IΑ	02451		- 4. l [·]	4. If Amendment, Date of Original Filed (Month/Day/Year)								Individual or Joint/Group Filing (Check Applicable ine) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(5	tate)	(Zip)															
		Tab	le I - No	on-Deriv	vative	e Se	curit	ies Ad	cquired	l, Di	sposed o	of, or Be	eneficia	ally Owner	t			
Da		Date	2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Disposed C Code (Instr. 5)		ties Acquired (A) or I Of (D) (Instr. 3, 4 ar		5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)			
									Code	v	Amount	(A) or (D)	Price	Transacti (Instr. 3 a	on(s)			instr. 4)
Common Stock 10/0		10/07	/2020	2020					7,500	A	\$2.6	677,044		D				
Common	on Stock 10/07		/2020	020		S ⁽¹⁾		7,500	D	\$35	669,544		D					
Common Stock											1,9	50		1 1	See Footnote ⁽²⁾			
		7	able II								oosed of converti			y Owned				
1. Title of Derivative Security (Instr. 3)	vative Conversion Date Execution Date, urity or Exercise (Month/Day/Year) if any			n Date,	4. Transaction Code (Instr. 8)		n of		6. Date Exercisable Expiration Date (Month/Day/Year)		te	Amount of Securities Underlying Derivative Secu (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4	Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisa		Expiration Date	Title	Amoun or Numbe of Shares	1				
Stock													1	1				

(3)

Explanation of Responses:

\$2.67

- 1. This is a scheduled exercise from 10B5-1 trading plan.
- 2. Owned by spouse.

Option

(Right to Buy)

3. This option was granted on December 5, 2013 and fully vested.

10/07/2020

/s/ David Watson, attorney-infact for Alec Machiels

7,500

\$0

10/09/2020

165,029

D

** Signature of Reporting Person

Common

Stock

12/04/2023

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

7,500