## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|
| Estimated average burden |           |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |

| Instruction 1(b)                      |         |                                     | Filed pursuant to Section 16(a) of the Securities Exchange Act of 193                            | hc  | hours per response: 0.5   |   |      |  |
|---------------------------------------|---------|-------------------------------------|--|---|---|---|------|--|
|                                       |         |                                     | or Section 30(h) of the Investment Company Act of 1940   | <u> </u>  |   |   |      |  |
| 1. Name and Addro<br>Grossi Feder     |         | g Person <sup>*</sup>               | 2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Apellis Pharmaceuticals, Inc.</u> [ APLS ] | (Check  | tionship of Repo<br>all applicable)<br>Director<br>Officer (give ti | orting Person(s) to Is<br>10% Ov<br>itle Other (s | wner |  |
| (Last)<br>C/O APELLIS<br>100 FIFTH AV |         | (Middle)<br>EUTICALS, INC.<br>FLOOR | 3. Date of Earliest Transaction (Month/Day/Year)<br>02/01/2021                                   | - X   | below) CHIEF ME   | below)<br>DICAL OFFICE                            | R    |  |
|                                       |         |                                     | 4. If Amendment, Date of Original Filed (Month/Day/Year)   | 6. Individual or Joint/Group Filing (Check Applicable Line) |   |   |      |  |
| (Street)<br>WALTHAM                   | MA      | 02451                               |  | X   | ,   | One Reporting Pers<br>More than One Rep           |      |  |
| (City)                                | (State) | (Zip)                               |  |   | 1 010011  |   |      |  |
|                                       |         | Table I - Non-De                    | rivative Securities Acquired. Disposed of, or Bene   | eficially   | Owned   |   |      |  |

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transa<br>Code (<br>8) |   | 4. Securities<br>Disposed Of<br>5) |               |       | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|---|------------------------------|---|------------------------------------|---------------|-------|---|---|---|
|                                 |  |   | Code                         | v | Amount                             | (A) or<br>(D) | Price | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                |   | (1150.4)  |
| Common Stock                    | 02/01/2021                                 |   | <b>S</b> <sup>(1)</sup>      |   | 600                                | D             | \$44  | 59,602  | D   |   |

|   | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities) |  |   |                              |   |      |     |  |                    |   |  |   |  |  |  |
|---|--|--|---|------------------------------|---|------|-----|--|--------------------|---|--|---|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security  | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of E |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |  |  |   | Code                         | v | (A)  | (D) | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |

Explanation of Responses:

1. This is a scheduled sale from 10B5-1 trading plan.

/s/ David Watson, attorney-infact for Dr. Federico Grossi 02/02/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.