SEC Form 4
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Instruction 1(b)

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## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OME	3 APPR	JVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of F Chopas James Ge	1 0	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Apellis Pharmaceuticals, Inc.</u> [ APLS ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner								
(Last) (Fir: C/O APELLIS PHAR 100 5TH AVENUE, 3	MACEUTICALS, INC.	3. Date of Earliest Transaction (Month/Day/Year) 01/21/2022	X Onler (and the below) below) VP/Chief Accounting Officer								
(Street) WALTHAM MA	A 02451	4. If Amendment, Date of Original Filed (Month/Day/Year) 01/25/2022	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person								
(City) (Sta	te) (Zip)										
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (		4. Securities A Disposed Of (D			Securities	(D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	01/21/2022		Α		10,657(1)(2)	A	\$0.00	19,139	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		of Securities		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Stock Option (right to buy)	\$35.46	01/21/2022		A		15,116 <sup>(4)</sup>		(3)	01/20/2032	Common Stock	15,116	\$0.00	15,116	D	

Explanation of Responses:

1. This represents a restricted stock unit grant made on January 21, 2022 that vests 25% annually in equal installments over four years from the date of grant, subject to continued service.

2. On January 23, 2021, the reporting person filed a Form 4 that inadvertently reported that the reporting person was granted a restricted stock unit of 6,974 shares. This amendment is being filed solely to correct such number of shares to 10,657.

3. This represents a non-qualified stock option grant made on January 21, 2022 that vests over a four year period with 25% vesting one year from grant date and the remaining 75% vesting in equal monthly installments thereafter through the fourth anniversary of the grant date, subject to continued service.

4. On January 23, 2021, the reporting person filed a Form 4 that inadvertently reported that the reporting person was granted a non-qualified stock option grant of 9,892 shares. This is amendment is being filed solely to correct such number of shares to 15,116.

## **Remarks:**

/s/ David Watson, attorney-in-

02/07/2022

\*\* Signature of Reporting Person Date

<u>fact</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.