

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP**

| OMB APPROVAL                                 |           |
|--|-----------|
| OMB Number:                                  | 3235-0287 |
| Estimated average burden hours per response: | 0.5       |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

|  |  |   |
|--|--|---|
| 1. Name and Address of Reporting Person*<br><u>Francois Cedric</u><br><br>(Last) (First) (Middle)<br><u>C/O APELLIS PHARMACEUTICALS, INC.</u><br><u>100 FIFTH AVENUE, 3RD FLOOR</u><br><br>(Street)<br><u>WALTHAM MA 02451</u><br><br>(City) (State) (Zip) | 2. Issuer Name and Ticker or Trading Symbol<br><u>Apellis Pharmaceuticals, Inc. [ APLS ]</u> | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)<br><input checked="" type="checkbox"/> Director 10% Owner<br><input checked="" type="checkbox"/> Officer (give title below) Other (specify below)<br><u>Chief Executive Officer</u> |
|  | 3. Date of Earliest Transaction (Month/Day/Year)<br><u>01/20/2026</u>                        |   |
|  | 4. If Amendment, Date of Original Filed (Month/Day/Year)                                     |   |
|  |  | 6. Individual or Joint/Group Filing (Check Applicable Line)<br><input checked="" type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person  |

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) |   | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) |            |           | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4)              |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|------------|-----------|---|--|--|
|                                 |                                      |  | Code                           | V | Amount  | (A) or (D) | Price     |   |  |  |
| Common Stock                    | 01/20/2026                           |  | S <sup>(1)</sup>               |   | 8,182   | D          | \$19.7929 | 313,237   | D  |  |
| Common Stock                    |                                      |  |                                |   |   |            |           | 307,946   | I <sup>(2)</sup>   | Indirect Owner (The Cedric Francois Irrevocable Trust of 2023 - 2) |
| Common Stock                    |                                      |  |                                |   |   |            |           | 472,065   | I <sup>(3)</sup>   | Indirect Owner (The Cedric Francois Irrevocable Trust of 2023)     |
| Common Stock                    |                                      |  |                                |   |   |            |           | 300,000   | I <sup>(4)</sup>   | Indirect Owner (The Francois Grossi Trust)                         |
| Common Stock                    |                                      |  |                                |   |   |            |           | 234,411   | I <sup>(5)</sup>   | Indirect Owner (The Francois-DuBois Educational Trust)             |

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|--------------------------------------|--|--------------------------------|--|--|---|--|--|---|--|
|  |  |                                      |  |                                |  |  |   |  |  |   |  |

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative (Instr. 3)   | 2. Conversion Date (Month/Day/Year) | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | Code | V | (A) (D) | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|-------------------------------------|--------------------------------------|--|------|---|---------|--|--|---|--|
| <p>1. This represents shares sold to cover tax withholding on the Restricted Stock Units released on January 16, 2026.</p> <p>2. The securities are held by The Cedric Francois Irrevocable Trust of 2023 - 2. William V. Zorn is the trustee of The Cedric Francois Irrevocable Trust of 2023 - 2. The reporting person disclaims beneficial ownership over the shares held by The Cedric Francois Irrevocable Trust of 2023 - 2 except to the extent of his pecuniary interest therein.</p> <p>3. The securities are held by The Cedric Francois Irrevocable Trust of 2023. William V. Zorn is the trustee of The Cedric Francois Irrevocable Trust of 2023. The reporting person disclaims beneficial ownership over the shares held by The Cedric Francois Irrevocable Trust of 2023 except to the extent of his pecuniary interest therein.</p> <p>4. The securities are held by The Francois Grossi Trust, for which Juliana Grossi, the spouse of the reporting person, serves as trustee. The reporting person disclaims beneficial ownership over the shares held by the Francois Grossi Trust except to the extent of his pecuniary interest therein.</p> <p>5. The securities are held by The Francois-DuBois Educational Trust, for which the Fiduciary Trust Company of New England serves as trustee. The reporting person disclaims beneficial ownership over the shares held by The Francois-DuBois Educational Trust except to the extent of his pecuniary interest therein.</p> |                                     |                                      |  |      |   |         |  |  |   |  |
|   |                                     |                                      |  |      |   |         | Amount                                     |  |   |  |
|   |                                     |                                      |  |      |   |         | /s/ David Watson, attorney-in-             |  | 01/21/2026  |  |
|   |                                     |                                      |  |      |   |         | fact for Cedric Francois                   |  |   |  |
|   |                                     |                                      |  |      |   |         | Signature                                  | Date   |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.**