FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person (Check all applicable) Apellis Pharmaceuticals, Inc. [APLS] Francois Cedric Director X 10% Owner Officer (give title Other (specify 3. Date of Earliest Transaction (Month/Day/Year) X (Middle) below) below) (Last) (First) 02/12/2024 Chief Executive Officer C/O APELLIS PHARMACEUTICALS, INC. 100 FIFTH AVENUE, 3RD FLOOR 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person Form filed by More than One Reporting WALTHAM MA 02451 Person Rule 10b5-1(c) Transaction Indication (City) (State) (Zip) Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 2A. Deemed 1. Title of Security (Instr. 3) 2. Transaction 5. Amount of 6. Ownership 7. Nature of Transaction Execution Date, Form: Direct Securities (Month/Day/Year) Beneficial Beneficially (D) or if any Code (Instr. (Month/Day/Year) 8) Owned Following Indirect (I) (Instr. 4) Ownership (Instr. 4) Reported Transaction(s) (Instr. 3 and 4) (A) or (D) Code Amount Price $S^{(1)}$ Common Stock 02/12/2024 3,962 \$67.7669 D D 313,662 Indirect Owner (The Cedric **T**(2) Common Stock 532,946 Francois Irrevocable Trust of 2023 - 2)Indirect Owner (The Cedric 654,211 **I**(3) Common Stock François Irrevocable Trust of 2023) Indirect Owner (The **I**(4) Common Stock 300,000 Francois Grossi Trust) Indirect Owner (The **T**(5) 234,411 Common Stock Francois-**DuBois** Educational Trust) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 11. Nature Derivative Conversion **Execution Date** Transaction Expiration Date Amount of Derivative derivative Ownership of Indirect Code (Instr. 8) if any (Month/Day/Year) Securities Underlying Security (Instr. 3) or Exercise Price of (Month/Day/Year) Derivative (Month/Day/Year) Security (Instr. 5) Form: Direct (D) Securities Beneficially Securities Ownership Acquired (A) or Disposed Owned Following or Indirect (I) (Instr. 4) Derivative Derivative (Instr. 4) Security Security (Instr. 3 and 4) Reported of (D) (Instr. 3, 4 Transaction(s) (Instr. 4) and 5) Amount

Date

Exercisable

(D)

(A)

Code

Expiration

Number

Shares

Title

- 1. This represents shares sold to cover tax withholding on the Restricted Stock Units released on 02/09/2024.
- 2. The securities are held by The Cedric François Irrevocable Trust of 2023 2. William V. A. Zorn is the trustee of The Cedric François Irrevocable Trust of 2023 2. The reporting person disclaims beneficial ownership over the shares held by The Cedric François Irrevocable Trust of 2023 2 except to the extent of his pecuniary interest therein.
- 3. The securities are held by The Cedric François Irrevocable Trust of 2023. William V. A. Zorn is the trustee of The Cedric François Irrevocable Trust of 2023. The reporting person disclaims beneficial ownership over the shares held by The Cedric François Irrevocable Trust of 2023 except to the extent of his pecuniary interest therein.
- 4. The securities are held by The Francois Grossi Trust, for which Juliana Grossi, the spouse of the reporting person, serves as trustee. The reporting person disclaims beneficial ownership over the shares held by the Francois Grossi Trust except to the extent of his pecuniary interest therein.
- 5. The securities are held by The Francois-DuBois Educational Trust, for which the Fiduciary Trust Company of New England serves as trustee. The reporting person disclaims beneficial ownership over the shares held by The Francois-DuBois Educational Trust except to the extent of his pecuniary interest therein.

/s/ David Watson, attorney-infact for Cedric Francois 02/13/2024

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.