| SEC Form 4 | |
|------------|--|
|------------|--|

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

I

| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See | STATEMEN | OMB Number: Estimated average burg hours per response: | 3235-028 den 0.1 | | |
|---|----------|--|---|---|--------|
| Instruction 1(b). | Filed | pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 | L | L | |
| 1. Name and Address of Reporting Person* Francois Cedric | | 2. Issuer Name and Ticker or Trading Symbol <u>Apellis Pharmaceuticals, Inc.</u> [APLS] | 5. Relationship of R (Check all applicable | , | lssuer |

| 1. Title of Security | (Instr. 3) | Date | te | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) o Disposed Of (D) (Instr. 3, 4 a 5) | and S | 5. Amount of Securities Beneficially Dwned Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership | |
|----------------------------------|-----------------|---------------|--------------|---|---|--|-------------------------|---|---|---|--|
| | Та | ble I - Non-E | Derivative S | Securities Acc | quired, Dis | sposed of, or Benefi | cially | Owned | | | |
| (City) | (State) | (Zip) | | | | | | Person | | | |
| CRESTWOOD | KY | 40014 | | | | | | Form filed by M | | | |
| (Street) | 1/X/ | 40014 | | | | | Line) | Form filed by O | ne Reporting Pe | erson | |
| , | | | 4. If A | mendment, Date o | f Original File | d (Month/Day/Year) | | vidual or Joint/Grou | up Filing (Check | Applicable | |
| 6400 WESTWIN | ND WAY, SUITE A | A | | | | | | | | | |
| C/O APELLIS PHARMACEUTICALS, INC | | | | 5/2019 | | | Chief Executive Officer | | | | |
| (Last) | (First) | (Middle) | 3. Dat | e of Earliest Trans | action (Month | /Day/Year) | X | Officer (give title below) | e Othe belo | er (specify w) | |
| | | | | | | | | Director | 1070 | Owner | |

| | | (Month/Day/Year) | 8) | | | | | Owned Following Reported | (I) (Instr. 4) | Ownership (Instr. 4) |
|--------------|------------|------------------|-------------------------|---|--------|---------------|---------|------------------------------------|----------------|--------------------------------|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (1150.4) |
| Common Stock | 12/16/2019 | | S ⁽¹⁾ | | 5,000 | D | \$27.95 | 1,006,793 | D | |
| Common Stock | | | | | | | | 234,411 | I | See Footnote ⁽²⁾ |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of Deriv Secur Acqu (A) or Dispo of (D) | Derivative (Month/Day/Year) Securities Acquired A) or Disposed of (D) Instr. 3, 4 | | 7. Title Amour Securi Underl Deriva Securi and 4) | nt of ties ying tive ty (Instr. 3 | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | Ownership Form: | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|---|---|--|---|------------------------------|---|---|---|---------------------|---|---|---|--|--------------------|--|--|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Explanation of Responses:

1. Scheduled sale from 10B5-1 trading plan.

2. The securities are held by The Francois-DuBois Educational Trust (the "Trust"), for which Fiduciary Trust Company of New England serves as trustee. The reporting person disclaims beneficial ownership over the shares held by the Trust except to the extent of his pecuniary interest therein.

<u>/s/ David Watson, attorney-in-</u> <u>fact for Cedric Francois</u> <u>12/17/2019</u>

** Signature of Reporting Person Da

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Date