SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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l	hours per response:	0.5										

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

	or Section So(ii) of the investment company Act of 1540						
1. Name and Address of Reporting Person*	2. Issuer Name and Ticker or Trading Symbol Apellis Pharmaceuticals, Inc. [APLS]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Machiels Alec		X Director 10% Owner					
	—	Officer (give title Other (specify					
(Last) (First) (Middle)	3. Date of Earliest Transaction (Month/Day/Year) 02/17/2023	below) below)					
C/O APELLIS PHARMACEUTICALS, INC.	02/17/2025						
100 FIFTH AVENUE, 3RD FLOOR							
	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)		X Form filed by One Reporting Person					
WALTHAM MA 02451	_	Form filed by More than One Reporting Person					
(City) (State) (Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Disposed Of 5)	Acquired f (D) (Instr	l (A) or . 3, 4 and	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Common Stock	02/17/2023		M ⁽¹⁾		1,250	A	\$2.67	268,891	D			
Common Stock	02/17/2023		S ⁽¹⁾		1,250	D	\$52.38	267,641	D			
Common Stock								250,000	I	Indirect Owner (Bauhaus 1 LLC) ⁽²⁾		
Common Stock								11,950	I	Indirect Owner (Owned by Spouse) ⁽³		
Common Stock								150,000	I	Indirect Owner (Spouse Trust) ⁽⁴⁾		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (Right to Buy)	\$2.67	02/17/2023		M ⁽¹⁾			1,250	(5)	12/04/2023	Common Stock	1,250	\$0	111,029	D	

Explanation of Responses:

1. This is a scheduled exercise and sale from 10B5-1 trading plan.

2. The shares are held by Bauhaus 1 LLC, which LLC is held by The Irrevocable Agreement of Trust of Alec Machiels (the "Trust"). The reporting person is the managing member of Bauhaus 1 LLC and the trustee of the Trust.

3. Owned by spouse

4. On September 7, 2021, the reporting person's spouse transferred 150,000 shares of Apellis Pharmaceuticals, Inc. common stock to her trust, of which the reporting person is the trustee.

5. This option was granted on December 5, 2013 and fully vested.

/s/ David Watson, attorney-infact for Alec Machiels 02/21/2023

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.