FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. | 20549 |
|-------------|------|-------|
|-------------|------|-------|

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     Machiels Alec          |   |  |   |   |   | 2. Issuer Name and Ticker or Trading Symbol Apellis Pharmaceuticals, Inc. [ APLS ] |   |  |                   |  |   |                                |  | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director 10% Owner |  |   |                     |   |  |  |
|--|---|--|---|---|---|--|---|--|-------------------|--|---|--------------------------------|--|---|--|---|---------------------|---|--|--|
| (Last)   |   |  |   |   |   |  | 3. Date of Earliest Transaction (Month/Day/Year) 09/15/2023 |  |                   |  |   |                                |  |   |  | er (give title O  |                     |   | (specify   |  |
| C/O APELLIS PHARMACEUTICALS, INC.<br>100 FIFTH AVENUE, 3RD FLOOR |   |  |   | 4. 1  | 4. If Amendment, Date of Original Filed (Month/Day/Year)  |  |   |  |                   |  |   |                                | 6. Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person |   |  |   |                     |   |  |  |
| (Street) WALTHAM MA 02451  |   |  |   |   | L   |  |   |  |                   |  |   |                                |  |   | Form filed by More than One Reporting Person |   |                     |   |  |  |
| (City)   | ity) (State) (Zip)  |  |   | Rı  | Rule 10b5-1(c) Transaction Indication  Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. |  |   |  |                   |  |   |                                |  |   |  |   |                     |   |  |  |
|  |   | Tab  | le I - No                                   | n-Deriv   | ative   | e Sec  | uriti   | ies Ac   | quired,           | Dis  | posed c                                     | of, or Be                      | neficia  | ally O  | )wned  | i   |                     |   |  |  |
| 1. Title of Security (Instr. 3)                                  |   | 2. Transaction<br>Date<br>(Month/Day/Year) |   | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |   | Transaction Code (Instr. 5   |   | 4. Securities Acquired (A) o<br>Disposed Of (D) (Instr. 3, 4 a<br>5) |                   |  | and Securities Beneficially Owned Following |                                | s<br>ally<br>ollowing  | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4)                             |  | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership   |                     |   |  |  |
|  |   |  |   |   |   |  |   | Code   | v                 | Amount   | (A) or<br>(D)                               | Price                          | ce Reported<br>Transactio<br>(Instr. 3 an  |   | ion(s)                                       |   |                     | (Instr. 4)  |  |  |
| Common   | mmon Stock  |  |   | 09/15/2023  |   | 3  |   | <b>M</b> <sup>(1)</sup>  |                   | 1,250  | A   | \$2.6                          | 368,670  |   | ,670   | D   |                     |   |  |  |
| Common   | Stock   |  |   | 09/15   | /2023   | 3  |   |  | S <sup>(1)</sup>  |  | 1,250                                       | D                              | \$45.  | .34   | 367,420                                      |   |                     | D   |  |  |
| Common   | Stock   |  |   |   |   |  |   |  |                   |  |   |                                |  |   | 250,000                                      |   | I                   |   | Indirect<br>Owner<br>(Bauhaus<br>1 LLC) <sup>(2)</sup>     |  |
| Common   | Stock   |  |   |   |   |  |   |  |                   |  |   |                                |  |   | 11,950                                       |   | I                   |   | Indirect<br>Owner<br>(Owned<br>by<br>Spouse) <sup>(3</sup> |  |
| Common   | Stock   |  |   |   |   |  |   |  |                   |  |   |                                |  | 150,000   |  |   | I                   | Indirect<br>Owner<br>(Spouse<br>Trust) <sup>(4)</sup>                   |  |  |
|  |   | Т  | able II -                                   |   |   |  |   |  |                   |  |   | or Ben                         |  |   | vned   |   |                     |   |  |  |
| Derivative<br>Security<br>(Instr. 3)                             | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deem<br>Execution<br>if any<br>(Month/D | ned<br>n Date,  | 4.<br>Transacti<br>Code (Ins  |  | 5. Number 6   |  | 6. Date Ex        | 6. Date Exercisab<br>Expiration Date<br>(Month/Day/Year) |   | ble and 7. Title and Amount of |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)   |  | 9. Number<br>derivative<br>Securitie<br>Beneficia<br>Owned<br>Following<br>Reported<br>Transact<br>(Instr. 4) | e<br>s<br>ally<br>g | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4 | Benefici<br>Ownersl<br>ct (Instr. 4)                       |  |
|  |   |  |   |   |   | v  | (A)   | A) (D)   | Date<br>Exercisab |  | Expiration<br>Date                          | Title                          | Amoun<br>or<br>Numbe<br>of<br>Shares   | r   |  |   |                     |   |  |  |
| Stock<br>Option<br>(Right to<br>Buy)                             | \$2.67  | 09/15/2023                                 |   |   | M <sup>(1)</sup>  |  |   | 1,250  | (5)               |  | 2/04/2023                                   | Common<br>Stock                | 1,250  |   | \$0  | 3,750   |                     | ) D   |  |  |

## **Explanation of Responses:**

- 1. This is a scheduled exercise and sale from 10B5-1 trading plan.
- 2. The shares are held by Bauhaus 1 LLC, which LLC is held by The Irrevocable Agreement of Trust of Alec Machiels (the "Trust"). The reporting person is the managing member of Bauhaus 1 LLC and the trustee of the Trust.
- 3. Owned by spouse.
- 4. On September 7, 2021, the reporting person's spouse transferred 150,000 shares of Apellis Pharmaceuticals, Inc. common stock to her trust, of which the reporting person is the trustee.
- 5. This option was granted on December 5, 2013 and fully vested.

/s/ David Watson, attorney-infact for Alec Machiels

09/18/2023

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.